



Independent Pricing and Regulatory Tribunal
New South Wales

Electricity Networks Reporting Manual – General licence conditions reporting

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ISBN 978-1-76049-104-8

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Amendment record

Issue	Date issued	Amendments made
ENRM - original	10 June 2016	First release of final Reporting Manual.
ENRM v2	September 2016	Various amendments, unrelated to general licence condition reporting.
ENRM v3	November 2016	Inclusion of Ms Catherine Jones as a Committee member Various further amendments, unrelated to general licence condition reporting.
ENRM v3	December 2016	References in Chapter 5 and Appendix E updated to reflect Ausgrid's new operating licence, excluding licence conditions 9-13.
ENRM v4	May 2017	Addition of Ms Deborah Cope as a Committee member, replacing Ms Catherine Jones. Updates to Chapter 5 to reflect Ausgrid's new operating licence. Additions to Table E.3 of reporting requirements to reflect Ausgrid's new operating licence.
ENRM – General licence conditions reporting - draft	August 2017	Separate Reporting Manuals published Updates to reflect Endeavour Energy's new operating licence. Addition of Table B.4 reflecting Endeavour Energy's new operating licence.

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1 Compliance with licence conditions

Licence holders are required to furnish to IPART information to enable IPART to determine whether or not the licence holder is complying with their licence conditions.

The reporting requirements outlined in this document relate to compliance with the network's requirement to report annually on compliance with its licence conditions. It excludes:

- ▼ Additional reliability licence condition reporting, which is outlined in IPART's *Electricity Network Reporting Manual – Reliability and performance licence conditions*
- ▼ Additional critical infrastructure licence condition reporting, which is outlined in IPART's *Electricity Network Reporting Manual – Critical infrastructure licence conditions*
- ▼ Additional employment guarantees licence condition reporting, which is outlined in IPART's *Electricity Network Reporting Manual – Employment guarantees*
- ▼ Incident reporting which is outlined in IPART's *Electricity Network Reporting Manual – Incident reporting*.

Reporting requirements outlined in this document apply to:

- ▼ Ausgrid
- ▼ Endeavour Energy
- ▼ Essential Energy, and
- ▼ TransGrid.

1.1 Timing and lodgement

IPART has classified the compliance reporting requirements for licence conditions as either:

- ▼ annual, or
- ▼ quarterly.

This classification is based on the potential impact of a non-compliance of the licence conditions for safe and reliable supply of electricity, and on the Government's policy objectives.

1.1.1 How to lodge an annual compliance report

The annual compliance report covering the previous financial year must be submitted to IPART no later than 31 August each year or on another date specified by IPART.

Annual compliance reports should be lodged by email to energy@ipart.nsw.gov.au. Name and contact details (phone, email) of the primary contact should also be provided. An

alternative contact for those times when the primary contact is unavailable should also be nominated.

1.2 Annual compliance reporting

Annual compliance reporting consists of two requirements:

- ▼ to report all non-compliances with licence conditions over the previous financial year, and
- ▼ to certify that all non-compliances that have occurred have been accurately reported.

The format of the annual compliance report is set out in Appendix A.

1.2.1 Content

In the annual compliance reports, licence holders are required to report any non-compliance against licence conditions and obligations that are prescribed in:

- ▼ the *Electricity Supply Act 1995* (NSW)
- ▼ the *Electricity Supply (General) Regulation 2014* (NSW); and
- ▼ Ministerially imposed licence conditions.

Note that not all licence conditions are applicable to every licence holder. The licence holder must be aware of, and fully comply with, all its licence obligations.

- ▼ Appendix B contains a list of licence conditions for Ausgrid, Endeavour Energy and Essential Energy; and
- ▼ Appendix C contains list of licence conditions for TransGrid.

These tables must be read in conjunction with the relevant legislation, statutory instruments and applicable codes. The information provided in Appendices B and C does not relieve a licence holder of its obligation to be aware of, and fully comply with, all licence obligations.

When reporting for 2016-17, Ausgrid should report for the period to 30 November 2016 on compliance with its previous DNSP licence conditions (Table A.2), and for the period from 1 December 2016, on its compliance with its current licence conditions (Table A.3) (as well as all other obligations which applied the entire period).

When reporting for 2016-17, Endeavour Energy should report for the period to 13 June 2017 on compliance with its previous DNSP licence conditions (Table B.2) and for the period from 14 June 2017, on its compliance with its current licence conditions (Table B.4).

Licence holders are required to submit an annual statement certifying that the business has complied with its licence obligations other than the exceptions identified in the annual compliance report. The annual compliance statement must be signed by:

- ▼ the Chief Executive Officer (CEO, or equivalent), and
- ▼ the Chairman of the Board or a duly authorised Board member other than the CEO.

There are separate reporting requirements for distributors and transmission operators.

- ▼ Ausgrid has separate reporting requirements against the conditions of the *Ministerially imposed licence conditions granted to Ausgrid Operator Partnership*¹ (Ausgrid licence) which applies to Ausgrid from 1 December 2016.
- ▼ Endeavour Energy has separate reporting requirements against the conditions of the *Ministerially imposed licence conditions granted to Endeavour Energy Operator Partnership*² (Endeavour Energy licence) which applies to Endeavour Energy from 14 June 2017.
- ▼ Ausgrid and Endeavour Energy are required to comply with obligations imposed under condition 12 of their licences by 1 December 2018 and 14 June 2019 respectively. Whilst these networks will not have to report on compliance with condition 12 prior to these dates, IPART may request information from the networks on their progress to ensure compliance by that date.

The distributor reporting requirements are presented in Table 1.1, excluding those conditions on reliability and performance, critical infrastructure and reporting against the Employment Guarantees. These areas are discussed in separate Reporting Manuals published by IPART.

Table 1.1 Exception-based reporting requirements for Electricity Distributors

IPART Code	Licence conditions	Name of reporting requirement	Description of information to be included
Refer to Appendix B	All	Licence conditions - general	Report on non-compliance with relevant licence condition. Information must include details of: <ul style="list-style-type: none"> ▼ the extent and nature of the non-compliance including whether and how many customers and/or other licence holders have been affected ▼ the reasons for non-compliance ▼ the actions taken or proposed, to rectify the non-compliance and to prevent it reoccurring; and ▼ the actual/anticipated date of full compliance and the state of the remedial action as at 30 June.
MOR1-3	ESA section 63D(3)	Market Operating Rules	Report on non-compliance with ESA section 63D(3). Information should include the Market Operating Rule number and clause that was breached.
DL17	ES (General) Reg cl 22(2)	Social programs	Report on non-compliance with ES (General) Reg cl 22(2). Information should include details of: <ul style="list-style-type: none"> ▼ the Social Programs for Energy Code that was adopted ▼ the actions the licence holder has taken or will

¹ Distributor's Licence issued by the Minister for Industry, Resources and Energy under the *Electricity Supply Act 1995* (NSW), granted to Ausgrid Operator Partnership a partnership carried on under that name by Blue Op Partner Pty Ltd (ACN 615 217 500) as trustee for the Blue Op Partner Trust, ERIC Alpha Operator Corporation 1 Pty Ltd (ACN 612 975 096) as trustee for ERIC Alpha Operator Trust 1, ERIC Alpha Operator Corporation 2 Pty Ltd (ACN 612 975 121) as trustee for ERIC Alpha Operator Trust 2, ERIC Alpha Operator Corporation 3 Pty Ltd (ACN 612 975 185) as trustee for ERIC Alpha Operator Trust 3, and ERIC Alpha Operator Corporation 4 Pty Ltd (ACN 612 975 210) as trustee for ERIC Alpha Operator Trust 4, dated 28 November 2016.

² Distributor's Licence issued by the Minister for Resources, Energy and Utilities under the *Electricity Supply Act 1995* (NSW), granted to the Endeavour Energy Network Operator Partnership a partnership carried on under that name by Edwards O Pty Limited (CAN 618 643 486) as trustee for Edwards O Trust, ERIC Epsilon Operator Corporation 1 Pty Ltd (CAN 617 221 735) as trustee for ERIC Epsilon Operator Trust 1, ERIC Epsilon Operator Corporation 2 Pty Ltd (CAN 617 221 744) as trustee for ERIC Epsilon Operator Trust 2, ERIC Epsilon Operator Corporation 3 Pty Ltd (CAN 617 221 753) as trustee for ERIC Epsilon Operator Trust 3, ERIC Epsilon Operator Corporation 4 Pty Ltd (CAN 617 221 771) as trustee for ERIC Epsilon Operator Trust 4, dated 7 June 2017 (Endeavour Energy licence).

IPART Code	Licence conditions	Name of reporting requirement	Description of information to be included
			undertake in order to become compliant with its obligations under the Social Programs for Energy Code.
DRF1-10	DNSP conditions, 11.2	Ring fencing	Report on non-compliance with licence condition 11.2. Information should include the clause of the NSW Distribution Ring Fencing Guidelines that was breached.

Note: 'DNSP conditions', refers to the *Schedule listing ministerially imposed licence conditions for distribution network service providers* and the *Reliability and Performance licence Conditions for Electricity Distributors*.

Table 1.2 outlines the annual reporting requirements for the transmission operator's licence³ excluding those conditions on reliability and performance, critical infrastructure and Employment Guarantees. These areas are discussed in separate Reporting Manuals published by IPART.

Table 1.2 Exception-based reporting requirements for transmission operators

IPART Code	Licence conditions	Name of reporting requirement	Description of information to be included
Refer to Appendix C	All (excluding critical infrastructure and Employment Guarantees)	Licence conditions - general	Report on non-compliance with the relevant licence condition. Information should include: <ul style="list-style-type: none"> the extent and nature of the non-compliance including whether and how many customers and/or other licence holders have been affected the reasons for non-compliance the actions taken or proposed, to rectify the non-compliance and to prevent it reoccurring; and the actual/anticipated date of full compliance and the state progress of the remedial action as at 30 June.

1.2.2 Other reporting requirements

Some licence holders may be required to report more frequently if their compliance has been unsatisfactory, as determined by IPART on a case-by-case basis. These licence holders will be notified by IPART on the reporting requirements

³ Transmission Operator's Licence issued by the Minister for Industry, Resources and Energy under the *Electricity Supply Act 1995* (NSW), granted to the NSW Electricity Networks Operations Pty Limited (ACN 609 169 959) as trustee for the NSW Electricity Network Operations Trust, dated 7 December 2015 (Transmission licence).



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Appendices

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A Annual Compliance Report Pro-forma

Annual Compliance Report for 20 -
Submitted by [name] ACN:

To: The Chief Executive Officer
Independent Pricing and Regulatory Tribunal of NSW PO
Box K35
Haymarket Post Shop NSW 1240

[Name] reports as follows:

1. This report documents compliance during [financial year] with all obligations to which [name] is subject by virtue of its Transmission Operator or Distribution Network Service Provider Licence.
2. This report has been prepared by [name] with all due care and skill in full knowledge of conditions to which it is subject and in compliance with IPART's [current Electricity Network Reporting Manual]/[Transmission/Distribution Electricity Network Performance Report].
3. Schedule A provides information on all obligations with which [name] did not fully comply during [financial year].
4. Other than the information provided in Schedule A, [name] has complied with all conditions to which it is subject.
5. This compliance report has been approved by the Chief Executive Officer (or equivalent) and the Chairman of the Board of Directors (or a duly authorised board member other than the CEO) of [name] at its meeting on [date].

DATE: DATE:

Signed: Signed:

Name: Name:

Designation:..... Designation:

Schedule A Non-Compliance^a

IPART Code ^b	Reporting period in which the breach occurred ^c	List obligations breached, including a brief description of each obligation ^d	Describe: Nature and extent of non-compliance (including whether and how many customers and/or other licence holders have been affected) Reasons for non-compliance Remedial action taken Actual/anticipated date of full compliance

^a Licence holders should report only breaches that were identified during the reporting period.

^b See code listed in tables E.1 and F.1.

^c Licence holders should indicate whether the breach occurred in the first half of the financial year, the second half of the financial year, or both.

^d Licence holders should include, for example: ES (General) Reg cl 24(2) – Certain information must be included in a bill issued by the distributor.

B Electricity distributor licence conditions and obligations

Table A.1 Distributor licence conditions and obligations in the *Electricity Supply Act 1995* (NSW) (ESA) - applicable to all licensed distribution network operators

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation on licensed distributors in the <i>Electricity Supply Act 1995</i>	Reporting
DL1	ESA section 15A(8) and ESA section 15A(3) ^a	Small renewable energy generators – customer connection services	<p>A distributor must, on application by or on behalf of a former regulated offer customer or small customer, provide customer connection services so as to connect, or permit to be connected, a complying generator to its distribution network if:</p> <p>The generator to be installed at the premises is in the distributor's distribution district.</p> <p>The former regulated offer customer or small customer has a right under the <i>National Energy Retail Law (NSW)</i> to be provided with customer connection services at those premises.</p>	Annual
DL2	ESA section 15A(8) and ESA section 15A(5)	Small renewable energy generators – customer credits	A distributor must record a credit against charges payable at the amount of \$0.20 (or other amount prescribed by the regulations) per kilowatt hour, in respect of a former regulated offer customer, for electricity that is produced by a complying generator installed and connected at a former regulated offer customer's premises and is supplied to the distribution network by the former regulated offer customer. This amount must be reduced in accordance with ESA section 15A(5A) by the amount determined by IPART, under Division 5 part 4 of the ESA, as the retailer benefit component for the supply of electricity.	Annual
DL3	ESA section 15A(8) and ESA section 15A(6)	Small renewable energy generators – provision of information to retailers	A distributor must provide a retailer with details of the amount of credit that has been recorded under ESA section 15A for electricity supplied to the network by each former regulated offer customer of the retailer and any other information required to be supplied by the regulations or market operations rules.	Annual

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation on licensed distributors in the <i>Electricity Supply Act 1995</i>	Reporting
DL4	ESA section 15A(8), ESA section 15A(7), ES (General) Reg cl 61(8) and (9)	Small renewable energy generators – provision of information to the Minister and Secretary	<p>A distributor must provide to the Minister and the Secretary the following information at such times as prescribed by the regulations:</p> <ul style="list-style-type: none"> a) the total number of former regulated offer customers or small customers in the distributor's distribution district who have installed and connected a complying generator b) the postcodes of those former regulated offer customers or small customers c) the total generating capacity of all such generators in the distribution district d) such information as is available to the distributor about the amount of electricity supplied to the distribution network by complying generators in the distributor's distribution district during such periods as may be prescribed by the regulations, and e) any other matter that may be prescribed by the regulations. <p>A distributor must also provide to the Secretary, at the times and in the form that the Secretary may request, the following information in relation to a customer who has connected, or applied to connect, a complying generator:</p> <ul style="list-style-type: none"> a) the date that the application to connect the generator was received by the distributor b) the name and address of the customer and the address where the generator is, or is to be, installed c) the name and address of the person (if any) who made the application on behalf of the customer d) whether the customer is a customer eligible for a credit under section 15A of the ESA and if so, the reason why e) the rate per kilowatt hour recorded, or to be recorded, in respect of electricity supplied by the customer f) the name, licence number and contact details of the person who installed, or is to install, the generator. 	Annual
DL5	ESA section 15A(8) and ESA section 15A(8E)	Small renewable energy generators – customer credits	A distributor is not to record a credit under ESA section 15A(8E) in respect of electricity produced by a generator that is first connected to the distribution network on or after the date specified in a notice under ESA section 15A(8).	Annual

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation on licensed distributors in the <i>Electricity Supply Act 1995</i>	Reporting
DL6	ESA section 16	Retail market - network operations	A distributor must not operate its distribution system for the purpose of conveying electricity for or on behalf of any person unless the person is a retailer or a Registered participant within the meaning of the <i>National Electricity (NSW) Law</i> .	Annual
DL7	ESA section 32G(1) and ESA section 32B(1)	Distributor levy	A distributor must pay the distributor's levy determined in respect of that year by order of the Governor on the recommendation of the Treasurer, and published in the Gazette.	Annual
DL8	ESA section 32G(1) and ESA section 32F(1)	Distributor levy – payment and recovery	The distributor levy is payable at such times and in such a manner as are determined in the order imposing, varying or adjusting it or agreed on between the Treasurer and the distributor.	Annual
DL9	ESA section 43E(1) and ESA section 43B(3)	Electricity pricing - determinations	An electricity network pricing determination that includes an amount determined by an order is not to be further increased in accordance with section 43B.	Annual
DL10	ESA section 43E(1) and ESA section 43D(1)	Electricity pricing - returns	A distributor is required to furnish to the Treasurer such information relating to forecast and actual consumption of electricity by customers as specified by the Treasurer by notice and such other information relevant to the licensee's obligations under Division 4 of the Act, as specified.	Annual
DL11	ESA section 43E(1) and ESA section 43D(2)	Electricity pricing returns format	The information in such a return is to be furnished in such manner and form as is specified or described by the Treasurer by notice.	Annual
MOR1	ESA section 63D(3) and MOR ^b (B2B) cl 6.1	Market operating rules – systems and processes	A distributor must do all such things necessary to ensure it establishes, maintains, and operates systems and processes that are compatible and compliant with the Procedures. ^c	Annual
MOR2	ESA section 63D(3) and MOR (B2B) cl 6.2	Market operating rules – provision of data	A distributor must supply all necessary data to support and comply with the Procedures.	Annual
MOR3	ESA section 63D(3) and MOR (B2B) cl 6.3	Market operating rules – data format	All data transferred in accordance with the Procedures must be in the prescribed format.	Annual
DL12	ESA, section 63Y(1)	Provision of information on underground power lines	A distributor must: <ul style="list-style-type: none"> a) be a member of Dial Before You Dig NSW/ACT Incorporated, or any other person prescribed as the “designated information provider” pursuant to section 63X of the ESA, and b) comply with any obligations imposed by that membership. 	Annual
DL13	ESA section 96C(a)	Approved ombudsman scheme - membership	A distributor must be a member of an approved energy ombudsman scheme.	Annual

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation on licensed distributors in the <i>Electricity Supply Act 1995</i>	Reporting
DL14	ESA section 96C(b)	Approved ombudsman scheme – disputes	A distributor is bound by and must comply with any decision of the energy ombudsman relating to a dispute or complaint involving a small retail customer.	Annual
DL15	ESA Schedule 2, cl 6A	Environmental assessment obligations - compliance	The distributor must, in the exercise of functions under section 111 (Duty to consider environmental impact) of the <i>Environmental Planning and Assessment Act 1979</i> (NSW), comply with requirements imposed by or under regulations made pursuant to section 111A of that Act.	Annual
DL16	ESA Schedule 6, Part 4, cl 23(4)	Distributor – retail supplier's licence	It is a condition of the distributor's licence or the licence of a person to whom a licence is transferred under this clause that the holder of the licence must comply with a condition imposed under this clause.	Annual
DL17	ES (General) Reg cl 22(2)	Social programs for Energy Code	If a Social Programs for Energy Code is adopted and applies to a distributor, it is a condition of the distributor's licence that it takes the action required by the Code in accordance with the Code.	Annual

a ESA Section 15A is repealed on 31 December 2016.

b Minister for Mineral Resources, The Hon. Chris Hartcher, M.P, Market Operation Rules (NSW Electricity Business to Business Procedures) No. 1 of 2013.

c NSW Business to Business Procedures.

Table A.2 Obligations found in the *Schedule of Ministerially imposed licence conditions for distribution network service providers* (applicable to Essential Energy, to Ausgrid for the period to 30 November 2016 and to Endeavour Energy for the period up to 13 June 2017)

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions for distribution network service providers</i>	Reporting
DL18	Ministerially-imposed licence condition 1.1	Expansion of the distribution system – preliminary investigation	Before expanding its distribution system or the capacity of its distribution system, the distributor must carry out investigations in circumstances in which it would be reasonable to expect that it would be cost effective to avoid or postpone the expansion by implementing demand management strategies.	Annual
DL19	Ministerially-imposed licence condition 1.3	Expansion of the distribution system – compliance	A distributor must comply with any guidelines established by the Minister relating to compliance with clause 1.1.	Annual
DL20	Ministerially-imposed licence condition 2	National electricity market registration	A distributor must hold and comply with the conditions of a network operator's authorisation or any equivalent authorisation or right of participation in any national electricity market.	Annual
DL21	Ministerially-imposed licence condition 3	Technical and prudential criteria	A distributor must satisfy the same technical and prudential criteria that it is required to meet as a condition of the network operator's authorisation or equivalent.	Annual
DL22	Ministerially-imposed licence condition 4.2	Separation of distribution system business	A distributor's distribution system operation affairs must be kept separate from its other affairs.	Annual
DL23	Ministerially-imposed licence condition 4.3	Separation of distribution system business – accounting and business records	A distributor must keep separate accounting and business records for its distribution system operation functions.	Annual
DL24	Ministerially-imposed licence condition 4.4	Separation of distribution system business – allocation of resources	A distributor may use any resource for both its distribution system operation affairs and any other affairs, provided that resource is allocated and costed between those affairs in the same way as it would be between separate unrelated legal entities on a commercial arms length basis.	Annual
DL25	Ministerially-imposed licence condition 5.1	Customer connection contracts – standard contracts	A distributor must comply with all provisions of the ESA and the ES Regulations under the ESA concerning the procedure for making, the content and effect of standard form customer connection contracts.	Annual
DL26	Ministerially-imposed licence condition 5.2	Customer connection contracts – negotiated contracts	A distributor must comply with all provisions of the ESA in relation to negotiated customer connection contracts.	Annual
DL27	Ministerially-imposed licence condition 5.3	Customer connection contracts - disclosure	Before entering into a negotiated customer connection contract, the distributor must disclose, in writing to that person, that the person is entitled to a standard form contract and provide that person, upon request, with a	Annual

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions for distribution network service providers</i>	Reporting
			copy of the relevant standard form contract.	
DL28	Ministerially-imposed licence condition 6.2	Connection services - exempt person	A distributor must not provide connection services to an exempt person otherwise than under a connection contract that complies with cl 6.3.	Annual
DL29	Ministerially-imposed licence condition 6.3	Connection services - condition of contracts	A connection contract must include a condition imposed by the distributor requiring the exempt person to adopt and comply with reasonable standards for the safe and efficient connection of any distribution system, generating system or customer installation.	Annual
DL30	Ministerially-imposed licence condition 7	Reporting manual	A distributor must prepare and submit reports in accordance with the applicable Reporting Manual issued by IPART.	Annual
DL31	Ministerially-imposed licence condition 8	Compliance management systems	A distributor must develop and maintain internal systems capable of effectively managing compliance with its licence.	Annual
DL32	Ministerially-imposed licence condition 9	Statistical operating obligations	A distributor must provide the Minister or the Minister's nominee with such operating statistics and performance indicators as requested.	Annual
DL33	Ministerially-imposed licence condition 10	Licence conditions – provision of information	A distributor must furnish to the Minister such information as the Minister may determine to enable the Minister to ascertain whether or not the distributor is complying with licence conditions.	Annual
DRF1	Ministerially-imposed licence condition 11.2 and Distribution Ring Fencing Guidelines ^a cl 2.1.1	Ring fencing	A distributor must provide a prescribed distribution service to an independent accredited service provider on terms that are no less favourable than the terms on which it provides that prescribed distribution service to that part of the distributor's business which provides contestable services.	Annual
DRF2	Ministerially-imposed licence condition 11.2 and Distribution Ring Fencing Guidelines cl 2.1.2	Ring fencing	A distributor must not treat a customer more or less favourably than another because the customer engaged or elected not to engage the distributor to provide it with contestable services.	Annual
DRF3	Ministerially-imposed licence condition 11.2 and Distribution Ring Fencing Guidelines cl 2.2	Ring fencing	A distributor must provide information relating to or derived from the provision of prescribed distribution services to an independent accredited service provider on terms that are no less favourable than the terms on which that information is made available to that part of the distributor's business that provides contestable services.	Annual
DRF4	Ministerially-imposed licence condition 11.2 and Distribution Ring Fencing Guidelines cl 3.1	Ring fencing	A distributor must ensure that an item referred to in the workbook that relates to a distribution service is fully allocated by the distributor to either prescribed distribution services or excluded distribution services on a causation basis.	Annual

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions for distribution network service providers</i>	Reporting
DRF5	Ministerially-imposed licence condition 11.2 and Distribution Ring Fencing Guidelines cl 4.1	Ring fencing	A distributor must not, in the provision of prescribed distribution services to any person, communicate with that person in a way that would favour the distributor over an independent accredited service provider in the provision of contestable services to the person.	Annual
DRF6	Ministerially-imposed licence condition 11.2 and Distribution Ring Fencing Guidelines cl 4.2.1	Ring fencing	If a distributor communicates to a customer located in that distributor's distribution district that it can provide contestable services to the customer, then it must (at or about the same time) also communicate to the customer that contestable services may also be obtained from an independent accredited service provider and inform the customer how to contact or locate an independent accredited service provider.	Annual
DRF7	Ministerially-imposed licence condition 11.2 and Distribution Ring Fencing Guidelines cl 4.3	Ring fencing	A distributor must comply with the requirements relating to customer support services.	Annual
DRF8	Ministerially-imposed licence condition 11.2 and Distribution Ring Fencing Guidelines cl 5.2.1	Ring fencing	A distributor must ensure that the offices from which distributor staff provide specified services are separate from the offices from which distributor staff provide contestable services.	Annual
DRF9	Ministerially-imposed licence condition 11.2 and Distribution Ring Fencing Guidelines cl 5.3	Ring fencing	A distributor must implement reasonable security measures to ensure that distributor staff that provide contestable services are unable to access information of or derived from distributor staff that provide specified services which relate to an independent accredited service provider.	Annual
DRF10	Ministerially-imposed licence condition 11.2 and Distribution Ring Fencing Guidelines cl 5.4.1	Ring fencing	A distributor must ensure that distributor staff that provide specified services do not also provide contestable services.	Annual
DL34	Ministerially-imposed licence condition 13.1	Licence fees	A distributor must pay its licence fees.	Annual
DL35	Ministerially-imposed licence condition 13.2	Licence fees	A distributor must pay its licence fees in the manner and within the period specified by the Minister.	Annual
RP1	Ministerially-imposed licence condition 15.1	Network overall reliability standards - SAIDI	A distributor must not, when excluded interruptions are disregarded, exceed in a financial year the SAIDI average standards that apply to its feeder types.	Annual
RP2	Ministerially-imposed licence condition 15.2	Network overall reliability standards - SAIFI	A distributor must not, when excluded interruptions are disregarded, exceed in a financial year the SAIFI average standards that apply to its feeder types.	Annual

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions for distribution network service providers</i>	Reporting
RP3	Ministerially-imposed licence conditions 16.1 and 16.2(a)	Individual feeder performance	Where one or more of a distributor's feeders exceed the relevant individual feeder standards for any 12 month period ending at the end of March, June, September or December, the distributor must investigate the causes for each feeder exceeding the individual feeder standards.	Annual
RP4	Ministerially-imposed licence condition 16.2(b)	Individual feeder performance investigation report	A distributor must, by the end of the quarter following the quarter in which the feeder first exceeded the individual feeder standards, complete an investigation report identifying the causes and any action required to improve the performance of each feeder.	Annual
RP5	Ministerially-imposed licence condition 16.2(c)	Individual feeder performance – completion of actions	A distributor must complete any actions identified in the investigation report to improve the performance of each feeder to the individual feeder standards by the end of the third quarter following the quarter in which each feeder first exceeded the individual feeder standards.	Annual
RP6	Ministerially-imposed licence condition 16.2(d)	Individual feeder performance – project plan	Except as permitted by condition 16.2(e), where the investigation report identifies actions, other than operational actions, required to improve the performance of each feeder to the individual feeder standards, the distributor must develop a project plan, including implementation timetable, and commence its implementation by the end of the second quarter following the quarter in which the feeder first exceeded the individual feeder standards.	Annual
RP7	Ministerially-imposed licence condition 16.2(e)	Individual feeder performance – considering non-network strategies	A distributor must consider non-network strategies which provide reliable outcomes for customers. Where found by the investigation report to be equal or more cost-effective than the lowest cost feasible network option, such strategies shall be adopted rather than network augmentation.	Annual
RP8	Ministerially- imposed licence condition 16.2(f)	Individual feeder performance – implementation timetable	A distributor must ensure that the implementation timetable for the network project plan or alternative non-network solutions is as short as is reasonably practicable.	Annual
RP9	Ministerially- imposed licence condition 16.2(g)	Individual feeder performance – cost benefit analysis	Where all reasonable steps to improve supply reliability have been taken, the costs of further actions to rectify the non-compliance must be subject to a cost benefit analysis. Where such analysis does not provide a positive benefit, no further action will be taken to improve the feeder's performance and the ongoing non-conformance with the individual feeder standards will be reported to the Minister.	Annual
RP10	Ministerially- imposed licence condition 16.3	Individual feeder performance – rectification plan	A distributor's investigation report is to include a documented rectification plan where action is found to be justified in order to improve the performance of a feeder to the individual feeder standards.	Annual

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions for distribution network service providers</i>	Reporting
RP11	Ministerially-imposed licence condition 17.1	Customer service standard – interruption duration	A distributor must pay the sum of \$80 to a customer where the distributor exceeds the interruption duration standard at the customer's premises and the customer has made a claim to the distributor within three months of the interruption.	Annual
RP12	Ministerially-imposed licence condition 17.2	Customer service standard – interruption frequency	A distributor must pay the sum of \$80 to a customer where the distributor exceeds the interruption frequency standard at the customer's premises in a financial year and the customer has made a claim to the distributor within three months of the end of the financial year to which the interruptions relate.	Annual
RP13	Ministerially-imposed licence condition 17.3	Customer service standard – claim determination	Within one month of receiving a claim, a distributor must determine the claim and give written notice of the determination to the customer. For customers eligible for payment, the notice must include the amount paid, the manner of payment and timing of payment. Where a claim is not paid (whether in part or full), the notice must include reasons for the decision.	Annual
RP14	Ministerially-imposed licence condition 17.4	Customer service standard – customer awareness	A distributor must take reasonable steps to make customers aware of the availability of payments under condition 17. On request from a customer, a distributor must provide written information on the availability of payments on the terms set out in condition 17.	Annual
RP15	Ministerially-imposed licence condition 18.2	Network overall reliability standards – quarterly report	A distributor must submit a quarterly network overall reliability standards report to the Minister within one month of the end of each quarter.	Quarterly
RP16	Ministerially-imposed licence condition 18.3	Network overall reliability standards – information required	Each network overall reliability standards report must include the following matters for the previous 12 month period to the end of that quarter: <ul style="list-style-type: none"> a) performance against SAIDI average standards and SAIFI average standards by feeder type, disregarding excluded interruptions b) reasons for any non-compliance by the licence holder with the network overall reliability standards and plans to improve performance, and c) any other matter formally notified by the Minister. 	Quarterly
RP17	Ministerially-imposed licence condition 18.4	Individual feeder standards – quarterly report	A distributor must submit, within one month of the end of each quarter, a quarterly individual feeder standards report to the Minister on feeders that exceeded the individual feeder standards during the previous 12 month period to the end of that quarter, together with for each feeder: <ul style="list-style-type: none"> a) the date at which the feeder first exceeded the relevant individual feeder standard, together with the actual SAIDI and SAIFI performance of the feeder for the 12 month period 	Quarterly

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions for distribution network service providers</i>	Reporting
			<ul style="list-style-type: none"> b) details of the remedial action that the licence holder intends taking, or has taken, to improve performance of those feeders c) the date of completion, or the date of planned completion, of the remedial action plan, or d) details of the investigation and action proposed or undertaken leading to the decision to advise the Minister that is not economically justifiable to bring the feeder performance into compliance with the individual feeder standards. 	
RP18	Ministerially-imposed licence condition 18.5	Customer service standards – quarterly report	<p>A distributor must submit a quarterly customer service standards report to the Minister on the following matters within one month of the end of each quarter for the preceding quarter and for the previous 12 month period to the end of that quarter:</p> <ul style="list-style-type: none"> a) number of payments given under condition 17 to customers by each type of area listed in Column 1 of Table 1 in Schedule 5 to the conditions and by the type of standard, as shown in Columns 2 and 3 of Table 1 in Schedule 5 to the conditions, and b) number of claims not paid (whether in part or full) under condition 17 to customers by each type of area listed in Column 1 of Table 1 in Schedule 5 to the conditions, and by type of standard, as shown in Columns 2 and 3 of Table 1 in Schedule 5 to the conditions. 	Quarterly
RP19	Ministerially-imposed licence condition 18.6	Major network incident reporting	A distributor must report to the Minister within 24 hours any major network incidents involving significant injury to persons, loss of property or widespread supply interruptions. High level severity incidents should be advised immediately.	Annual
RP20	Ministerially-imposed licence condition 18.7	Reliability and performance – audit	An independent audit must be conducted after the end of each financial year to audit the distributor's performance against the network overall reliability standards, individual feeder standards and customer service standards.	Annual
RP21	Ministerially-imposed licence condition 18.8	Reliability and performance – auditor nomination	A distributor must nominate a person to conduct the independent audit by notice in writing to IPART and must give notice in accordance with any time specified by IPART.	Annual
RP22	Ministerially-imposed licence condition 18.12	Reliability and performance – audit report	A distributor must provide a copy of the auditor's report by 30 September each year to IPART and the Minister.	Annual
RP23	Ministerially-imposed licence condition 18.13	Reliability and performance – audit report format	Where the Minister determines the format of a report required by condition 18, a distributor must submit the report in that format.	Annual

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions for distribution network service providers</i>	Reporting
RP24	Ministerially-imposed licence condition 18.14	Reliability and performance – audit guidelines	The Minister may from time to time establish guidelines to be followed by the distributor in complying with reports required by this condition and the distributor must comply with any such guidelines.	Annual
RP25	Ministerially-imposed licence condition 18.16	Reliability and performance – provision of audit report	A distributor must provide a report submitted to the Minister under condition 18 to IPART, if requested to do so by IPART by notice in writing.	Annual

^a IPART, Distribution Ring Fencing Guidelines, Made under clause 6.20 of the National Electricity Code, Version 1.0, February 2003.

Table A.3 Obligations in the *Schedule of Ministerially imposed licence conditions of a transacted distribution system* (Applicable to Ausgrid only from 1 December 2016)

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions of a transacted distribution system</i>	Reporting
TD1	Transacted distribution system conditions ^a : 1	Operate within Distribution District	The licence holder must ensure that it and all other network operators of its <i>distribution system</i> only operate a <i>distribution system</i> in the district provided in Schedule 3 of the Act, those areas in Schedule 1 to the licence, or any other areas agreed and authorised by the Tribunal and licence holder.	Annual
TD2	Transacted distribution system conditions: 2	National Electricity Market	The Licence Holder must ensure that it and all other network operators of its <i>distribution system</i> are registered or exempt from the requirement to be registered as a Network Service Provider under the National Electricity Rules, or hold any equivalent authorisation or right of participation in any national electricity market.	Annual
TD3	Transacted distribution system conditions: 3	Technical and prudential criteria	The Licence Holder must ensure that it and all other network operators of its <i>distribution system</i> satisfy the technical and prudential criteria that each entity is required to meet as a condition of its registration, exemption, or equivalent authorisation or right of participation referred to in condition 2.	Annual
TD4	Transacted distribution system conditions: 4.1	Network overall reliability standards - SAIDI	A Licence Holder must not, when excluded interruptions are disregarded, exceed in a financial year the SAIDI average standards that apply to its feeder types.	Annual
TD5	Transacted distribution system conditions: 4.2	Network overall reliability standards - SAIFI	A Licence Holder must not, when excluded interruptions are disregarded, exceed in a financial year the SAIFI average standards that apply to its feeder types.	Annual
TD6	Transacted distribution system conditions: 5.1 and 5.2 (a)	Individual feeder performance	Where one or more of a Licence Holder's feeders exceed the relevant individual feeder standards for any 12 month period ending at the end of March, June, September or December, the distributor must investigate the causes for each feeder exceeding the individual feeder standards.	Annual
TD7	Transacted distribution system conditions: 5.2(b)	Individual feeder performance investigation report	A Licence Holder must, by the end of the quarter following the quarter in which the feeder first exceeded the individual feeder standards, complete an investigation report identifying the causes and any action required to improve the performance of each feeder.	Annual
TD8	Transacted distribution system conditions: 5.2(c)	Individual feeder performance – completion of actions	A Licence Holder must complete any actions identified in the investigation report to improve the performance of each feeder to the individual feeder standards by the end of the third quarter following the quarter in which each feeder first exceeded the individual feeder standards.	Annual

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions of a transacted distribution system</i>	Reporting
TD9	Transacted distribution system conditions: 5.2(d)	Individual feeder performance – project plan	Except as permitted by condition 5.2(e), where the investigation report identifies actions, other than operational actions, required to improve the performance of each feeder to the individual feeder standards, the distributor must develop a project plan, including implementation timetable, and commence its implementation by the end of the second quarter following the quarter in which the feeder first exceeded the individual feeder standards.	Annual
TD10	Transacted distribution system conditions: 5.2(e)	Individual feeder performance – considering non-network strategies	A Licence Holder must consider non-network strategies which provide reliable outcomes for customers. Where found by the investigation report to be equal or more cost-effective than the lowest cost feasible network option, such strategies shall be adopted rather than network augmentation.	Annual
TD11	Transacted distribution system conditions: 5.2(f)	Individual feeder performance – implementation timetable	A Licence Holder must ensure that the implementation timetable for the network project plan or alternative non-network solutions is as short as is reasonably practicable.	Annual
TD12	Transacted distribution system conditions: 5.2(g)	Individual feeder performance – cost benefit analysis	Where all reasonable steps to improve supply reliability have been taken, the costs of further actions to rectify the non-compliance must be subject to a cost benefit analysis. Where such analysis does not provide a positive benefit, no further action will be taken to improve the feeder's performance and the ongoing non-conformance with the individual feeder standards will be reported to the Minister.	Annual
TD13	Transacted distribution system conditions: 5.3	Individual feeder performance – rectification plan	The investigation report is to include a documented rectification plan where action is found to be justified in order to improve the performance of a feeder to the individual feeder standards.	Annual
TD14	Transacted distribution system conditions: 6.1	Customer service standard – interruption duration	A Licence Holder must pay the sum of \$80 to a customer where the distributor exceeds the interruption duration standard at the customer's premises and the customer has made a claim to the distributor within three months of the interruption ceasing.	Annual
TD15	Transacted distribution system conditions: 6.2	Customer service standard – interruption frequency	A Licence Holder must pay the sum of \$80 to a customer where the distributor exceeds the interruption frequency standard at the customer's premises in a financial year and the customer has made a claim to the distributor within three months of the end of the financial year to which the interruptions relate.	Annual
TD16	Transacted distribution system conditions: 6.3	Customer service standard – claim determination	Within one month of receiving a claim for payment under condition 6, a Licence Holder must determine the claim and give written notice of the determination to the customer. For customers eligible for payment, the notice must include the amount paid, the manner of payment and timing of payment. Where a claim is not paid (whether in part or full), the notice must include reasons for the decision.	Annual

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions of a transacted distribution system</i>	Reporting
TD17	Transacted distribution system conditions: 6.4	Customer service standard – customer awareness	A Licence Holder must take reasonable steps to make customers aware of the availability of payments on the terms under condition 6. On request from a customer, a Licence Holder must provide written information on the availability of payments on the terms set out in condition 6.	Annual
TD18	Transacted distribution system conditions: 7.1	Network overall reliability standards – quarterly report	A Licence Holder must submit a network overall reliability standards quarterly report to the Tribunal within one month of the end of each quarter.	Quarterly
TD19	Transacted distribution system conditions: 7.2	Network overall reliability standards – information required	Each network overall reliability standards report must include the following matters for the previous 12 month period to the end of that quarter: <ul style="list-style-type: none"> a) performance against SAIDI average standards and SAIFI average standards by feeder type, disregarding excluded interruptions b) reasons for any non-compliance by the licence holder with the network overall reliability standards and plans to improve performance, and c) any other matter notified by the Tribunal in writing. 	Quarterly
TD20	Transacted distribution system conditions: 7.3	Individual feeder standards – quarterly report	A distributor must submit, within one month of the end of each quarter, a quarterly individual feeder standards report to the Tribunal on feeders that exceeded the relevant individual feeder standards during the previous 12 month period to the end of that quarter, together with for each feeder: <ul style="list-style-type: none"> a) the date at which the feeder first exceeded the relevant individual feeder standard, together with the actual SAIDI and SAIFI performance of the feeder for the 12 month period, b) details of the remedial action that the licence holder intends taking, or has taken, to improve performance of those feeders, c) either of the following: <ul style="list-style-type: none"> i) The date of completion, or the date of planned completion, of the remedial action plan, or ii) details of the investigation and action proposed or undertaken leading to the decision to advise the Tribunal that is not economically justifiable to bring the feeder performance into compliance with the individual feeder standards, and d) any other matter notified by the Tribunal in writing 	Quarterly
TD21	Transacted distribution system conditions: 7.4	Customer service standards – quarterly report	A Licence Holder must submit a quarterly customer service standards report to the Tribunal on the following matters within one month of the end of each quarter for the preceding quarter and for the previous 12 month period to the end of that quarter: <ul style="list-style-type: none"> a) number of payments given under condition 6 to customers by each type of area 	Quarterly

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions of a transacted distribution system</i>	Reporting
			<p>listed in Column 1 of Table 1 in Schedule 5 to the conditions and by the type of standard, as shown in Columns 2 and 3 of Table 1 in Schedule 5 to the conditions, and</p> <p>b) number of claims not paid (whether in part or full) under condition 6 to customers by each type of area listed in Column 1 of Table 1 in Schedule 5 to the conditions, and by type of standard, as shown in Columns 2 and 3 of Table 1 in Schedule 5 to the conditions.</p> <p>c) any other matter notified by the Tribunal in writing</p>	
TD22	Transacted distribution system conditions: 7.5	Incident reporting	A Licence Holder must prepare and submit reports on any incident in accordance with any Reporting Manuals issued by the Tribunal.	As per Ch.2 of this Reporting Manual.
TD23	Transacted distribution system conditions: 7.6	Reliability and performance - audit	An independent audit must be conducted after the end of each financial year to audit the distributor's performance against the network overall reliability standards, individual feeder standards and customer service standards.	Annual
TD24	Transacted distribution system conditions: 7.7	Incident reporting	The audit must be conducted in accordance with any Audit Guidelines issued by the Tribunal	Annual
TD25	Transacted distribution system conditions: 7.8	Reliability and performance – auditor nomination	A Licence Holder is required to nominate a person to conduct the independent audit by written notice given to the Tribunal in accordance with auditor nomination procedures published in any Audit Guidelines issued by the Tribunal.	Annual
TD26	Transacted distribution system conditions: 7.9	Reliability and performance – auditor nomination	The person nominated must be independent of the Licence Holder and competent to undertake the audit	Annual
TD27	Transacted distribution system conditions: 7.12	Reliability and performance – audit report	A distributor must provide a copy of the auditor's report by 30 September each year to IPART.	Annual
TD28	Transacted distribution system conditions: 7.13	Reliability and performance – audit report format	Where the Tribunal determines the format of a report, a Licence Holder must submit the report in that format.	Annual
TD29	Transacted distribution system conditions: 7.14	Reliability and performance – audit guidelines	The Tribunal may from time to time establish requirements to be followed by the Licence Holder in complying with reports required by this condition and the Licence Holder must comply with any such requirements.	Annual

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions of a transacted distribution system</i>	Reporting
TD30	Transacted distribution system conditions: 7.16	Reliability and performance – provision of a report	A Licence Holder must provide a report submitted to the Tribunal under condition 7 to the Minister, if requested to do so by the Minister by notice in writing.	Annual
TD31	Transacted distribution system conditions: 8.1	Business continuity and disruptions	The Licence Holder must have a documented system to ensure that it has adequate arrangements in place to identify, assess and manage business continuity risks and manage business disruptions relating to the operation of its <i>distribution system</i> (a Business Continuity Plan).	Annual
TD32	Transacted distribution system conditions: 8.2	Business continuity and disruptions	The Licence Holder must ensure that it and any other network operator of its <i>distribution system</i> implements and complies with the Business Continuity Plan.	Annual
ACI1	Transacted distribution system conditions 9.1	Substantial presence in Australia – distribution system maintenance	The Licence Holder must take all practical and reasonable steps to ensure: <ul style="list-style-type: none"> a) the maintenance of its <i>distribution system</i> is undertaken solely from within Australia, except where maintenance requires either physical servicing of components offshore or the acquisition of replacement components from outside Australia. In such an instance it is the responsibility of the senior officer for network operations to ensure this maintenance does not impact condition 9.2; and b) that any third party or non-Licence Holder employee, including individuals/entities from outside Australia, undertaking maintenance of the <i>distribution system</i> is subject to the approval of the senior officer responsible for network operations. 	Annual
ACI2	Transacted distribution system conditions 9.2 (a)	Substantial presence in Australia – domestic operation and control	The Licence Holder must, by using best industry practice for electricity network control systems, ensure that operation and control of its distribution system, including all associated ICT infrastructure, can be accessed, operated and controlled only from within Australia, and that its <i>distribution system</i> is not connected to any other infrastructure or network which could enable it to be controlled or operated by persons outside Australia;	
ACI3	Transacted distribution system conditions 9.2 (b)	Substantial presence in Australia – domestic operation and control	The Licence Holder must notify the <i>Commonwealth Representative</i> ^b if it enters into a contract under which it outsources the operation and control of its distribution system, including any associated ICT infrastructure.	Annual
ACI4	Transacted distribution system conditions 9.2 (c)	Implementation plan reporting	Report on the progress made against each of the steps outlined in the Ministerially-approved implementation plan for Ausgrid. The report should note: <ul style="list-style-type: none"> ▼ whether the steps outlined in the implementation plan have been completed, and when ▼ if steps have not been completed, the reasons why, and ▼ the expected timeframe to complete any outstanding actions This information should be included in: <ul style="list-style-type: none"> ▼ the annual compliance report provided to IPART by 31 August 2017, or another date 	31 August 2017, or another date specified by the Tribunal 15 December

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions of a transacted distribution system</i>	Reporting
			<p>specified by the Tribunal</p> <ul style="list-style-type: none"> ▼ a separate report provided to IPART by 15 December 2017, and ▼ the annual compliance report provided to IPART by 31 August 2018, or another date specified by the Tribunal. 	<p>2017</p> <p>31 August 2018, or another date specified by the Tribunal</p>
ACI5	Ministerially-imposed licence condition 9.3 (refer also 9.4 and 9.5)	Critical infrastructure – substantial presence in Australia – personnel	<p>The Licence Holder must:</p> <ul style="list-style-type: none"> a) have at least two directors who are Australian citizens; and b) have senior officers responsible for (notwithstanding their title): <ul style="list-style-type: none"> (i) operational technology; (ii) network operations, and (iii) security operations <p>in relation to its <i>distribution system</i></p> <p>who are persons residing in Australia and hold an appropriate national security clearance, being a clearance of not less than Negative Vetting Level 1 (or equivalent).</p>	Annual
ACI6	Ministerially-imposed licence condition 9.4 and 9.5	Critical infrastructure – substantial presence in Australia – personnel	<p>If applicable under condition 9.3, provide details of:</p> <ul style="list-style-type: none"> any vacancies including the time the vacancy began, and any pending applications for security clearance including the appointment date of which the relevant employee and the application date of the security clearance. 	Annual
ACI7	Ministerially-imposed licence condition 10.1 (refer also 10.2)	Critical infrastructure - Data storage	<p>The Licence Holder must ensure that:</p> <ul style="list-style-type: none"> a) all of its information as to the operational technology (as defined in the licence condition) and associated ICT infrastructure is held solely within Australia, and that such information is accessible only by a <i>Relevant Person</i>^b who has been authorised by the Licence Holder and only from within Australia, and b) all: <ul style="list-style-type: none"> i) data as to the quantum of electricity delivered (both historical and current load demand) from or to any one or more sites (or their connection points); and ii) personal information within the meaning of the <i>Privacy Act 1988</i> (Cth), relating to or obtained in connection with the operation of the <i>distribution system</i> by a <i>Relevant Person</i> is held solely within Australia, and is accessible only by a <i>Relevant Person</i> or a person who has been authorised by the Licence Holder. 	Annual

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions of a transacted distribution system</i>	Reporting
ACI8	Ministerially-imposed licence condition 10.2 (g)	Transition plan reporting	<p>Report on the progress made against each of the steps outlined in the Tribunal-approved transition plan for Ausgrid. The report should note:</p> <ul style="list-style-type: none"> ▼ whether the steps outlined in the transition plan have been completed, and when ▼ if steps have not been completed, the reasons why, and ▼ the expected timeframe to complete any outstanding actions <p>This information should be included in:</p> <ul style="list-style-type: none"> ▼ the annual compliance report provided to IPART by 31 August 2017, or another date specified by the Tribunal. ▼ a separate report provided to IPART by 15 December 2017, and ▼ the annual compliance report provided to IPART by 31 August 2018, or another date specified by the Tribunal. 	<p>31 August 2017, or another date specified by the Tribunal</p> <p>15 December 2017</p> <p>31 August 2018, or another date specified by the Tribunal</p>
ACI9	Ministerially-imposed licence condition 10.4		<p>The Licence Holder must ensure that third party data or information (including without limitation communications within the meaning of the <i>Telecommunications (Interception and Access) Act 1979</i> (Cth), personal information within the meaning of the <i>Privacy Act 1988</i> (Cth), and closed circuit television footage) which is indirectly accessed or obtained by the Licence Holder because that third party data or information is transferred by a carrier or other party using the Licence Holder's infrastructure:</p> <ul style="list-style-type: none"> a) are held by the Licence Holder solely within Australia, and b) are accessible only by a <i>Relevant Person</i>^b or a person who has been authorised by the Licence Holder and, c) in each case, only from within Australia. 	Annual
ACI10	Ministerially-imposed licence condition 11.1 (refer also 11.4)	Critical Infrastructure - reporting	<p>On 31 August each year, or such other date specified by the Tribunal, the Licence Holder must furnish a report to the Tribunal and the <i>Commonwealth Representative</i>^b detailing whether the Licence Holder has complied with conditions 9 and 10 over the preceding financial year to 30 June. The report must be accompanied by a certification in writing supported by a resolution of the Board of the Licence Holder that the Licence Holder has either complied or not complied with conditions 9 and 10 and:</p> <ul style="list-style-type: none"> a) certifying the nature and extent of each non-compliance, b) the steps taken to ensure and preclude further non-compliance, and c) the expected timeframe to achieve compliance. 	Annual

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions of a transacted distribution system</i>	Reporting
ACI11	Ministerially-imposed licence condition 11.2	Critical Infrastructure - audit	The report required under condition 11.1 must be audited by an Approved Critical Infrastructure Auditor by a date specified by the Tribunal. The audit required by this condition 11.2 must be a comprehensive audit and must meet any requirements specified by the Tribunal.	Annual
TD33	Ministerially-imposed licence condition 12.1	Management systems –certification	Within two years after the date of the Licence, the Licence Holder must have and maintain: <ul style="list-style-type: none"> a) an asset management system that is consistent with the International Standard ISO 55001 Asset Management System – Requirements; and b) an environmental management system that is consistent with International Standard ISO 14001 Environmental Management, which comply with condition 12.	Annual
TD34	Ministerially-imposed licence condition 12.2	Management systems –certification	The Licence Holder must ensure that, by the time it is required to comply with condition 12.1: <ul style="list-style-type: none"> a) its asset management system is certified to be consistent with International Standard ISO 55001; and b) its environmental management system is certified to be consistent with International Standard ISO 14001. 	Annual
TD35	Ministerially-imposed licence condition 12.3	Management systems – maintenance of certification	Once the asset management system and environmental management systems are each certified in accordance with condition 12.2, the certifications are maintained for the remainder of the duration of the <i>Licence</i> .	Annual
TD36	Ministerially-imposed licence condition 12.4	Management systems – system changes	The Licence Holder must notify the Tribunal, in accordance with any Reporting Manuals issued by the Tribunal, of any significant changes it proposes to make to its asset management system or environmental management system.	Annual
TD37	Ministerially-imposed licence condition 13	Management systems - implementation	The Licence Holder must ensure that its asset management system and environmental management system are fully implemented and all relevant activities undertaken by it or any other network operator of its <i>distribution system</i> are carried out in accordance with the relevant management system.	Annual
TD38	Transacted distribution system conditions 14	Reporting in accordance with Reporting Manuals	The Licence Holder must prepare and submit reports in accordance with any Reporting Manuals issued by the Tribunal.	Annual
TD39	Transacted distribution system conditions 15	Information about compliance with Audit Guidelines	The Licence Holder must comply with any Audit Guidelines issued by the Tribunal.	Annual
TD40	Transacted distribution	Compliance	The Licence Holder must ensure internal systems are developed and maintained that are	Annual

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions of a transacted distribution system</i>	Reporting
	system conditions 16	management systems	capable of effectively managing compliance with its licence.	
TD41	Transacted distribution system conditions 17	Statistical operating obligations	The Licence Holder must provide to the Tribunal such operating statistics and performance indicators as requested.	Annual
TD42	Transacted distribution system conditions 18	Licence conditions – provision of information	The Licence Holder must furnish to the Tribunal such information as the Tribunal may determine to enable the Tribunal to ascertain whether or not the distributor is complying with conditions of its licence, the Act or Regulation.	Annual
TD43	Transacted distribution system conditions 19.1	Information about compliance with Employment Guarantees	The Licence Holder must furnish to the Tribunal such information as the Tribunal may determine to enable the Tribunal to ascertain whether or not the Licence Holder is complying with the 'Employment Guarantees' set out in Schedule 4 of the <i>Electricity Network Assets (Authorised Transactions) Act 2015</i> (NSW).	Annual
TD44	Transacted distribution system conditions 19.2	Auditing of employment guarantees compliance	The Licence Holder must comply at its own expense and within a reasonable timeframe nominated by the Tribunal, with any request from the Tribunal to have information provided under condition 19.1 audited by an Approved Auditor.	Annual
TD45	Transacted distribution system conditions: 20.1	Licence fees	A distributor must pay its licence fees.	Annual
TD46	Transacted distribution system conditions: 20.2	Licence fees	A distributor must pay its licence fees in the manner and within the period specified by the Minister.	Annual

'Transacted distribution system conditions' refers to those conditions found in the *Schedule of Ministerially imposed licence conditions of a transacted distribution system* in the Ausgrid Licence. The Licence provides definitions of certain terms, including: Commonwealth Representative, distribution system, Licence Holder, Relevant Person, and Tribunal.

Table B.4 Obligations in the *Schedule of Ministerially imposed licence conditions of a transacted distribution system* (Applicable to Endeavour Energy only from 14 June 2017)

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions of a transacted distribution system</i>	Reporting
TD1	Transacted distribution system conditions ^a : 1	Operate within Distribution District	The Licence Holder must ensure that it and all other network operators of its <i>distribution system</i> only operate a <i>distribution system</i> in the district provided in Schedule 3 of the Act, those areas in Schedule 1 to the licence, or any other areas agreed and authorised by the Tribunal and licence holder.	Annual
TD2	Transacted distribution system conditions: 2	National Electricity Market	The Licence Holder must ensure that it and all other network operators of its <i>distribution system</i> are registered or exempt from the requirement to be registered as a Network Service Provider under the National Electricity Rules, or hold any equivalent authorisation	Annual

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions of a transacted distribution system</i>	Reporting
			or right of participation in any national electricity market.	
TD3	Transacted distribution system conditions: 3	Technical and prudential criteria	The Licence Holder must ensure that it and all other network operators of its <i>distribution system</i> satisfy the technical and prudential criteria that each entity is required to meet as a condition of its registration, exemption, or equivalent authorisation or right of participation referred to in condition 2.	Annual
TD4	Transacted distribution system conditions: 4.1	Network overall reliability standards - SAIDI	The Licence Holder must not, when excluded interruptions are disregarded, exceed in a financial year the SAIDI average standards that apply to its feeder types.	Annual
TD5	Transacted distribution system conditions: 4.2	Network overall reliability standards - SAIFI	The Licence Holder must not, when excluded interruptions are disregarded, exceed in a financial year the SAIFI average standards that apply to its feeder types.	Annual
TD6	Transacted distribution system conditions: 5.1 and 5.2 (a)	Individual feeder performance	Where one or more of a Licence Holder's feeders exceed the relevant individual feeder standards for any 12 month period ending at the end of March, June, September or December, the distributor must investigate the causes for each feeder exceeding the individual feeder standards.	Annual
TD7	Transacted distribution system conditions: 5.2(b)	Individual feeder performance investigation report	The Licence Holder must, by the end of the quarter following the quarter in which the feeder first exceeded the individual feeder standards, complete an investigation report identifying the causes and any action required to improve the performance of each feeder.	Annual
TD8	Transacted distribution system conditions: 5.2(c)	Individual feeder performance – completion of actions	The Licence Holder must complete any actions identified in the investigation report to improve the performance of each feeder to the individual feeder standards by the end of the third quarter following the quarter in which each feeder first exceeded the individual feeder standards.	Annual
TD9	Transacted distribution system conditions: 5.2 (d)	Individual feeder performance – project plan	Except as permitted by condition 5.2(e), where the investigation report identifies actions, other than operational actions, required to improve the performance of each feeder to the individual feeder standards, the Licence Holder must develop a project plan, including implementation timetable, and commence its implementation by the end of the second quarter following the quarter in which the feeder first exceeded the individual feeder standards.	Annual
TD10	Transacted distribution system conditions: 5.2(e)	Individual feeder performance – considering non-network strategies	The Licence Holder must consider non-network strategies which provide reliable outcomes for customers. Where found by the investigation report to be equal or more cost-effective than the lowest cost feasible network option, such strategies shall be adopted rather than network augmentation.	Annual
TD11	Transacted distribution system conditions:	Individual feeder performance –	The Licence Holder must ensure that the implementation timetable for the network project plan or alternative non-network solutions is as short as is reasonably practicable.	Annual

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions of a transacted distribution system</i>	Reporting
	5.2(f)	implementation timetable		
TD12	Transacted distribution system conditions: 5.2(g)	Individual feeder performance – cost benefit analysis	Where all reasonable steps to improve supply reliability have been taken, the costs of further actions to rectify the non-compliance must be subject to a cost benefit analysis. Where such analysis does not provide a positive benefit, no further action will be taken to improve the feeder's performance and the ongoing non-conformance with the individual feeder standards will be reported to the Minister.	Annual
TD13	Transacted distribution system conditions: 5.3	Individual feeder performance – rectification plan	The investigation report is to include a documented rectification plan where action is found to be justified in order to improve the performance of a feeder to the individual feeder standards.	Annual
TD14	Transacted distribution system conditions: 6.1	Customer service standard – interruption duration	A Licence Holder must pay the sum of \$80 to a customer where the Licence Holder exceeds the interruption duration standard at the customer's premises and the customer has made a claim to the Licence Holder within three months of the interruption ceasing.	Annual
TD15	Transacted distribution system conditions: 6.2	Customer service standard – interruption frequency	A Licence Holder must pay the sum of \$80 to a customer where the Licence Holder exceeds the interruption frequency standard at the customer's premises in a financial year and the customer has made a claim to the Licence Holder within three months of the end of the financial year to which the interruptions relate.	Annual
TD16	Transacted distribution system conditions: 6.3	Customer service standard – claim determination	Within one month of receiving a claim for payment under condition 6, a Licence Holder must determine the claim and give written notice of the determination to the customer. For customers eligible for payment, the notice must include the amount paid, the manner of payment and timing of payment. Where a claim is not paid (whether in part or full), the notice must include reasons for the decision.	Annual
TD17	Transacted distribution system conditions: 6.4	Customer service standard – customer awareness	The Licence Holder must take reasonable steps to make customers aware of the availability of payments on the terms under condition 6. On request from a customer, a Licence Holder must provide written information on the availability of payments on the terms set out in condition 6.	Annual
TD18	Transacted distribution system conditions: 7.1	Network overall reliability standards – quarterly report	The Licence Holder must submit a network overall reliability standards quarterly report to the Tribunal within one month of the end of each quarter.	Quarterly
TD19	Transacted distribution system conditions: 7.2	Network overall reliability standards – information required	Each network overall reliability standards report must include the following matters for the previous 12 month period to the end of that quarter: <ul style="list-style-type: none"> a) performance against SAIDI average standards and SAIFI average standards by feeder type, disregarding excluded interruptions b) reasons for any non-compliance by the licence holder with the network overall 	Quarterly

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions of a transacted distribution system</i>	Reporting
			reliability standards and plans to improve performance, and c) any other matter notified by the Tribunal in writing.	
TD20	Transacted distribution system conditions: 7.3	Individual feeder standards – quarterly report	A Licence Holder must submit, within one month of the end of each quarter, a quarterly individual feeder standards report to the Tribunal on feeders that exceeded the relevant individual feeder standards during the previous 12 month period to the end of that quarter, together with for each feeder: a) the date at which the feeder first exceeded the relevant individual feeder standard, together with the actual SAIDI and SAIFI performance of the feeder for the 12 month period, b) details of the remedial action that the licence holder intends taking, or has taken, to improve performance of those feeders, c) either of the following: i) The date of completion, or the date of planned completion, of the remedial action plan, or ii) details of the investigation and action proposed or undertaken leading to the decision to advise the Tribunal that is not economically justifiable to bring the feeder performance into compliance with the individual feeder standards, and d) any other matter notified by the Tribunal in writing	Quarterly
TD21	Transacted distribution system conditions: 7.4	Customer service standards – quarterly report	The Licence Holder must submit a quarterly customer service standards report to the Tribunal on the following matters within one month of the end of each quarter for the preceding quarter and for the previous 12 month period to the end of that quarter: a) number of payments given under condition 6 to customers by each type of area listed in Column 1 of Table 1 in Schedule 5 to the conditions and by the type of standard, as shown in Columns 2 and 3 of Table 1 in Schedule 5 to the conditions, and b) number of claims not paid (whether in part or full) under condition 6 to customers by each type of area listed in Column 1 of Table 1 in Schedule 5 to the conditions, and by type of standard, as shown in Columns 2 and 3 of Table 1 in Schedule 5 to the conditions. c) any other matter notified by the Tribunal in writing	Quarterly
TD22	Transacted distribution system conditions: 7.5	Incident reporting	The Licence Holder must prepare and submit reports on any incident in accordance with any Reporting Manuals issued by the Tribunal.	As per Electricity Networks Reporting Manual –

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions of a transacted distribution system</i>	Reporting
				Incident reporting ¹ .
TD23	Transacted distribution system conditions: 7.6	Reliability and performance - audit	An independent audit must be conducted after the end of each financial year to audit the Licence Holder's performance against the network overall reliability standards, individual feeder standards and customer service standards.	Annual
TD24	Transacted distribution system conditions: 7.7	Incident reporting	The audit must be conducted in accordance with any Audit Guidelines issued by the Tribunal	Annual
TD25	Transacted distribution system conditions: 7.8	Reliability and performance – auditor nomination	The Licence Holder is required to nominate a person to conduct the independent audit by written notice given to the Tribunal in accordance with auditor nomination procedures published in any Audit Guidelines issued by the Tribunal.	Annual
TD26	Transacted distribution system conditions: 7.9	Reliability and performance – auditor nomination	The person nominated must be independent of the Licence Holder and competent to undertake the audit	Annual
TD27	Transacted distribution system conditions: 7.12	Reliability and performance – audit report	The Licence Holder must provide a copy of the auditor's report by 30 September each year to IPART.	Annual
TD28	Transacted distribution system conditions: 7.13	Reliability and performance – audit report format	Where the Tribunal determines the format of a report, a Licence Holder must submit the report in that format.	Annual
TD29	Transacted distribution system conditions: 7.14	Reliability and performance – audit guidelines	The Tribunal may from time to time establish requirements to be followed by the Licence Holder in complying with reports required by this condition and the Licence Holder must comply with any such requirements.	Annual
TD30	Transacted distribution system conditions: 7.16	Reliability and performance – provision of a report	The Licence Holder must provide a report submitted to the Tribunal under condition 7 to the Minister, if requested to do so by the Minister by notice in writing.	Annual
TD31	Transacted distribution system conditions: 8.1	Business continuity and disruptions	The Licence Holder must have a documented system to ensure that it has adequate arrangements in place to identify, assess and manage business continuity risks and manage business disruptions relating to the operation of its <i>distribution system</i> (a Business Continuity Plan).	Annual
TD32	Transacted distribution system conditions: 8.2	Business continuity and disruptions	The Licence Holder must ensure that it and any other network operator of its <i>distribution system</i> implements and complies with the Business Continuity Plan.	Annual
AC11	Transacted distribution system conditions 9.1	Substantial presence in Australia –	The Licence Holder must take all practical and reasonable steps to ensure: a) the maintenance of its <i>distribution system</i> is undertaken solely from within Australia,	30 September

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions of a transacted distribution system</i>	Reporting
		distribution system maintenance	except where maintenance requires either physical servicing of components offshore or the acquisition of replacement components from outside Australia. In such an instance it is the responsibility of the senior officer for network operations to ensure this maintenance does not impact condition 9.2; and b) that any third party or non-Licence Holder employee, including individuals/entities from outside Australia, undertaking maintenance of the <i>distribution system</i> is subject to the approval of the senior officer responsible for network operations.	2017 and thereafter annually on 31 August, or another date specified by the Tribunal
ACI2	Transacted distribution system conditions 9.2 (a)	Substantial presence in Australia – domestic operation and control	The Licence Holder must, by using best industry practice for electricity network control systems, ensure that operation and control of its distribution system, including all associated ICT infrastructure, can be accessed, operated and controlled only from within Australia, and that its <i>distribution system</i> is not connected to any other infrastructure or network which could enable it to be controlled or operated by persons outside Australia;	30 September 2017 and thereafter annually on 31 August, or another date specified by the Tribunal
ACI3	Transacted distribution system conditions 9.2 (b)	Substantial presence in Australia – domestic operation and control	The Licence Holder must notify the <i>Commonwealth Representative</i> ^b if it enters into a contract under which it outsources the operation and control of its distribution system, including any associated ICT infrastructure.	30 September 2017 and thereafter annually on 31 August, or another date specified by the Tribunal
ACI4	Transacted distribution system conditions 9.2 (c)	Approved plan reporting	Report on the progress made against each of the steps outlined in the approved plan for Endeavour Energy. The report should note: ▼ whether the steps outlined in the plan have been completed, and when ▼ if steps have not been completed, the reasons why, and ▼ the expected timeframe to complete any outstanding actions This information should be included in: ▼ the annual compliance reports provided to IPART by 30 September 2017, and	30 September 2017 and thereafter annually on 31 August, or another date specified by

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions of a transacted distribution system</i>	Reporting
			▼ thereafter annually on 31 August, or another date specified by the Tribunal.	the Tribunal
ACI5	Ministerially-imposed licence condition 9.3 (refer also 9.4 and 9.5)	Critical infrastructure – substantial presence in Australia – personnel	<p>The Licence Holder must:</p> <ul style="list-style-type: none"> a) have at least two directors who are Australian citizens; and b) have senior officers responsible for (notwithstanding their title): <ul style="list-style-type: none"> (i) operational technology; (ii) network operations, and (iii) security operations <p>in relation to its <i>distribution system</i></p> <p>who are persons residing in Australia and hold an appropriate national security clearance, being a clearance of not less than Negative Vetting Level 1 (or equivalent).</p>	30 September 2017 and thereafter annually on 31 August, or another date specified by the Tribunal
ACI6	Ministerially-imposed licence condition 9.4 and 9.5	Critical infrastructure – substantial presence in Australia – personnel	<p>If applicable under condition 9.3, the Licence Holder must provide details of:</p> <ul style="list-style-type: none"> any vacancies including the time the vacancy began, and any pending applications for security clearance including the appointment date of which the relevant employee and the application date of the security clearance. 	30 September 2017 and thereafter annually on 31 August, or another date specified by the Tribunal
ACI7	Ministerially-imposed licence condition 10.1 (refer also 10.2)	Critical infrastructure - Data storage	<p>The Licence Holder must ensure that:</p> <ul style="list-style-type: none"> a) all of its information as to the operational technology (as defined in the licence condition) and associated ICT infrastructure is held solely within Australia, and that such information is accessible only by a <i>Relevant Person</i>^b who has been authorised by the Licence Holder and only from within Australia, and b) all: <ul style="list-style-type: none"> i) data as to the quantum of electricity delivered (both historical and current load demand) from or to any one or more sites (or their connection points); and ii) personal information within the meaning of the <i>Privacy Act 1988</i> (Cth), relating to or obtained in connection with the operation of the <i>distribution system</i> by a <i>Relevant Person</i> is held solely within Australia, and is accessible only by a <i>Relevant Person</i> or a person who has been authorised by the Licence Holder. 	30 September 2017 and thereafter annually on 31 August, or another date specified by the Tribunal

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions of a transacted distribution system</i>	Reporting
ACI8	Ministerially-imposed licence condition 10.2 (g)	Approved plan reporting	<p>The Licence Holder must report on the progress made against each of the steps outlined in the approved plan for Endeavour Energy. The report should note:</p> <ul style="list-style-type: none"> ▼ whether the steps outlined in the plan have been completed, and when ▼ if steps have not been completed, the reasons why, and ▼ the expected timeframe to complete any outstanding actions <p>This information should be included in the annual compliance reports provided to IPART annually on 31 August, or another date specified by the Tribunal.</p>	15 December 2017 and thereafter annually, or another date specified by the Tribunal
ACI9	Ministerially-imposed licence condition 10.4		<p>The Licence Holder must ensure that third party data or information (including without limitation communications within the meaning of the <i>Telecommunications (Interception and Access) Act 1979</i> (Cth), personal information within the meaning of the <i>Privacy Act 1988</i> (Cth), and closed circuit television footage) which is indirectly accessed or obtained by the Licence Holder because that third party data or information is transferred by a carrier or other party using the Licence Holder's infrastructure:</p> <ul style="list-style-type: none"> a) are held by the Licence Holder solely within Australia, and b) are accessible only by a <i>Relevant Person</i>^b or a person who has been authorised by the Licence Holder and, c) in each case, only from within Australia. 	30 September 2017 and thereafter annually on 31 August, or another date specified by the Tribunal
ACI10	Ministerially-imposed licence condition 11.1 (refer also 11.4)	Critical Infrastructure - reporting	<p>On 31 August each year, or such other date specified by the Tribunal, the Licence Holder must furnish a report to the Tribunal and the <i>Commonwealth Representative</i>^b detailing whether the Licence Holder has complied with conditions 9 and 10 over the preceding financial year to 30 June. The report must be accompanied by a certification in writing supported by a resolution of the Board of the Licence Holder that the Licence Holder has either complied or not complied with conditions 9 and 10 and:</p> <ul style="list-style-type: none"> a) certifying the nature and extent of each non-compliance, b) the steps taken to ensure and preclude further non-compliance, and c) the expected timeframe to achieve compliance. 	30 September 2017 and thereafter annually on 31 August, or another date specified by the Tribunal

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions of a transacted distribution system</i>	Reporting
AC111	Ministerially-imposed licence condition 11.2	Critical Infrastructure - audit	The report required under condition 11.1 must be audited by an Approved Critical Infrastructure Auditor by a date specified by the Tribunal. The audit required by this condition 11.2 must be a comprehensive audit and must meet any requirements specified by the Tribunal.	30 September 2018 and thereafter annually on 30 September, or another date specified by the Tribunal
TD33	Ministerially-imposed licence condition 12.1	Management systems –certification	Within two years after the date of the Licence, the Licence Holder must have and maintain: a) an asset management system that is consistent with the International Standard ISO 55001 Asset Management System – Requirements; and b) an environmental management system that is consistent with International Standard ISO 14001 Environmental Management, which comply with condition 12.	31 August 2018 and thereafter annually on 31 August, or another date specified by the Tribunal
TD34	Ministerially-imposed licence condition 12.2	Management systems –certification	The Licence Holder must ensure that, by the time it is required to comply with condition 12.1: a) its asset management system is certified to be consistent with International Standard ISO 55001; and b) its environmental management system is certified to be consistent with International Standard ISO 14001.	Annual
TD35	Ministerially-imposed licence condition 12.3	Management systems – maintenance of certification	Once the asset management system and environmental management systems are each certified in accordance with condition 12.2, the certifications must be maintained for the remainder of the duration of the Licence.	Annual
TD36	Ministerially-imposed licence condition 12.4	Management systems – system changes	The Licence Holder must notify the Tribunal, in accordance with any Reporting Manuals issued by the Tribunal, of any significant changes it proposes to make to its asset management system or environmental management system.	Annual
TD37	Ministerially-imposed licence condition 13	Management systems - implementation	The Licence Holder must ensure that its asset management system and environmental management system are fully implemented and all relevant activities undertaken by it or any other network operator of its <i>distribution system</i> are carried out in accordance with the relevant management system.	Annual

IPART Code	Licence Condition and obligation	Name of reporting requirement	Brief description of obligation in the <i>Schedule of Ministerially imposed licence conditions of a transacted distribution system</i>	Reporting
TD38	Transacted distribution system conditions 14	Reporting in accordance with Reporting Manuals	The Licence Holder must prepare and submit reports in accordance with any Reporting Manuals issued by the Tribunal.	Annual
TD39	Transacted distribution system conditions 15	Compliance with Audit Guidelines	The Licence Holder must comply with any Audit Guidelines issued by the Tribunal.	Annual
TD40	Transacted distribution system conditions 16	Compliance management systems	The Licence Holder must ensure internal systems are developed and maintained that are capable of effectively managing compliance with its licence.	Annual
TD41	Transacted distribution system conditions 17	Statistical operating obligations	The Licence Holder must provide to the Tribunal such operating statistics and performance indicators as requested.	Annual
TD42	Transacted distribution system conditions 18	Licence conditions – provision of information	The Licence Holder must furnish to the Tribunal such information as the Tribunal may determine to enable the Tribunal to ascertain whether or not the Licence Holder is complying with conditions of its licence, the Act or Regulation.	Annual
TD43	Transacted distribution system conditions 19.1	Information about compliance with Employment Guarantees	The Licence Holder must furnish to the Tribunal such information as the Tribunal may determine to enable the Tribunal to ascertain whether or not the Licence Holder is complying with the 'Employment Guarantees' set out in Schedule 4 of the <i>Electricity Network Assets (Authorised Transactions) Act 2015</i> (NSW).	Annual
TD44	Transacted distribution system conditions 19.2	Auditing of employment guarantees compliance	The Licence Holder must comply at its own expense and within a reasonable timeframe nominated by the Tribunal, with any request from the Tribunal to have information provided under condition 19.1 audited by an Approved Auditor.	Annual
TD45	Transacted distribution system conditions: 20.1	Licence fees	The Licence Holder must pay its licence fees.	Annual
TD46	Transacted distribution system conditions: 20.2	Licence fees	The Licence Holder must pay its licence fees in the manner and within the period specified by the Minister.	Annual

Transacted distribution system conditions refers to those conditions found in the *Schedule of Ministerially imposed licence conditions of a transacted distribution system* in the Endeavour Energy Licence.

The Licence provides definitions of certain terms, including: Commonwealth Representative, distribution system, Licence Holder, Relevant Person, and Tribunal.

C Transmission operator licence conditions and obligations

Table A.4 Obligations in the *Schedule of Ministerially imposed licence conditions for the operator of a transacted transmission system*

IPART Code	Licence Condition and obligation	Name of reporting	Brief description of obligation	Reporting
TL1	Ministerially-imposed licence condition 1	National Electricity Market registration	The holder of the Transmission Operator's Licence, and all other network operators of its transmission system must be: <ul style="list-style-type: none"> a) registered, or exempt to be registered, as a network service provider under the National Electricity Rules, or b) hold any equivalent authorisation or right of participation in any national electricity market. 	Annual
TL2	Ministerially-imposed licence condition 2	Technical and prudential criteria	The Licence Holder and all other network operators of its transmission system must satisfy the same technical and prudential criteria that it is required to meet as a condition of the network operator's authorisation or equivalent.	Annual
TL3	Ministerially-imposed licence condition 3	Reliability and performance standards	The Licence Holder must ensure that it and all other network operators of its transmission system comply with: <ul style="list-style-type: none"> a) Any reliability and performance standards issued by the Minister for the transmission system. b) If no reliability and performance standards have been issued by the Minister, the Licence Holder must operate its transmission system to meet the reliability and performance standards which were developed and applied by the network operator of the transmission system in response to the Transmission Network Design and Reliability Standard for NSW dated December 2010. 	Annual
TL4	Ministerially-imposed licence condition 4	Annual demand forecasts	The Licence Holder must submit its Annual Demand Forecasts to AEMO in sufficient time to enable AEMO to consider and provide comments in relation to the forecasts and for the Licence Holder to consider those comments prior to finalisation of the Annual Demand Forecast prepared as part of the Annual Transmission Planning Review, required under clause 5.12 of the National Electricity Rules or any equivalent or replacement	Annual

IPART Code	Licence Condition and obligation	Name of reporting	Brief description of obligation	Reporting
			requirements.	
TL5	Ministerially-imposed licence condition 5.1	Business continuity and disruptions	The Licence Holder must have a documented system to ensure that it has adequate arrangements in place to identify, assess and manage business continuity risks and manage business disruptions relating to the operation of its transmission system (a Business Continuity Plan).	Annual
TL6	Ministerially-imposed licence condition 5.2	Business continuity and disruptions	The <i>Licence Holder</i> must ensure that it and any other network operator of its <i>transmission system</i> implements and complies with the <i>Business Continuity Plan</i> .	Annual
CI1	Ministerially-imposed licence condition 6.1	Critical infrastructure - Substantial presence in Australia – transmission system	The <i>Licence Holder</i> must ensure: a) the maintenance of its <i>transmission system</i> is undertaken solely from within Australia, other than where such maintenance is not capable of being undertaken within Australia on reasonable commercial terms and conditions; and the operation and control of its <i>transmission system</i> is capable of being undertaken only from within Australia.	Annual
CI2	Ministerially-imposed licence condition 6.2 (refer also 6.3 and 6.4)	Critical infrastructure – substantial presence in Australia – personnel	The <i>Licence Holder</i> must: a) have at least two directors who are Australian citizens; and have senior officers responsible for (notwithstanding their title): (i) operational technology; and (ii) network operations, in relation to its <i>transmission system</i> who are persons residing in Australia and holding or possessing an ability to hold an appropriate national security clearance, being a clearance of not less than Negative Vetting Level 1 (or equivalent) unless exempted under condition 6.3.	Annual
CI3	Ministerially-imposed licence condition 7.1 (refer also 7.2)	Critical infrastructure - Data storage	The <i>Licence Holder</i> must ensure that all: a) data as to the quantum of electricity delivered (both historical and current load demand) from or to any one or more sites (or their connection points); and b) personal information within the meaning of the <i>Privacy Act 1988</i> (Cth), relating to or obtained in connection with the operation of the <i>transmission system</i> by a <i>Relevant Person</i> is held solely within Australia, and is accessible only by a <i>Relevant</i>	Annual

IPART Code	Licence Condition and obligation	Name of reporting	Brief description of obligation	Reporting
			<i>Person or a person who has been authorised by the Licence Holder and only from within Australia.</i>	
CI4	Ministerially-imposed licence condition 7.2	Critical Infrastructure - Further maintenance of data security	<p>The <i>Licence Holder</i> is not in breach of its obligations under condition 7.1(a) if the <i>Licence Holder</i> discloses, or the <i>Licence Holder</i> discloses to a <i>Relevant Person</i> so that that <i>Relevant Person</i> may disclose:</p> <ul style="list-style-type: none"> a) to a recognised stock exchange so that such information is made available publically in compliance with a binding obligation on the part of the Licence Holder or that Associate to do so; b) in compliance with any law of the Commonwealth of Australia, or of any of its States and Territories; c) to the financial, accounting, insurance, legal, regulatory and other advisers, auditors, insurers, security trustees and financiers (and each of their advisers) of the Licence Holder, any Associate, and any bona fide prospective purchaser of any interest in, or of any interest in the main undertaking of, the Licence Holder or any Associate, but in each case only to the extent necessary in order for those persons to provide the advisory or other services bona fide required of them; d) aggregated data which does not permit identification of sites or groups of sites (or their connection points) or their demand characteristics; and e) in such other circumstances as approved in writing by the Tribunal. 	Annual
CI5	Ministerially-imposed licence condition 8.1 (refer also 8.3)	Critical Infrastructure - reporting	On 31 August each year, or such other date specified by the <i>Tribunal</i> , the <i>Licence Holder</i> must furnish a report to the <i>Tribunal</i> detailing whether the <i>Licence Holder</i> has complied with conditions 6 and 7 over the preceding financial year to 30 June. The report must be accompanied by a certification in writing supported by a resolution of the Board of the <i>Licence Holder</i> .	Annual
CI6	Ministerially-imposed licence condition 8.2	Critical Infrastructure - audit	The report required under condition 8.1 must be audited by an Approved Critical Infrastructure Auditor by a date specified by the Tribunal. The audit required by this condition 8.2 must be a comprehensive audit and must meet any requirements specified by the Tribunal.	Annual
TL7	Ministerially-imposed licence condition 9.1(a)	Management Systems - Assets	The <i>Licence Holder</i> must have and maintain an asset management system that is consistent with the International Standard ISO 55001 Asset Management System – Requirements.	Annual

IPART Code	Licence Condition and obligation	Name of reporting	Brief description of obligation	Reporting
TL8	Ministerially-imposed licence condition 9.1(b)	Management Systems - Environmental	The <i>Licence Holder</i> must have and maintain an environmental management system that is consistent with International Standard ISO 14001 Environmental Management.	Annual
TL9	Ministerially-imposed licence condition 9.2	Management Systems - certification	<p>The <i>Licence Holder</i> must ensure that:</p> <ul style="list-style-type: none"> a) its asset management system is certified by an appropriately qualified person to be consistent with International Standard ISO 55001 Asset Management System – Requirements; and b) its environmental management system is certified by an appropriately qualified person to be consistent with International Standard ISO 14001 Environmental Management; and c) once its asset management system and environmental management systems are each certified, that certification is maintained for the duration of the Licence. 	Annual
TL10	Ministerially-imposed licence condition 9.3	Management Systems – reporting significant changes	The <i>Licence Holder</i> must notify the <i>Tribunal</i> , in accordance with the <i>Reporting Manual</i> , of any significant changes it proposes to make to its asset management system or environmental management system.	Annual
TL11	Ministerially-imposed licence condition 10	Management Systems - implementation	The <i>Licence Holder</i> must ensure that its asset management system and environmental management system are fully implemented and all relevant activities undertaken by it or any other network operator of its <i>transmission system</i> are carried out in accordance with the relevant management system.	Annual
TL12	Ministerially-imposed licence condition 11	Reporting manual	The <i>Licence Holder</i> must prepare and submit reports in accordance with any <i>Reporting Manuals</i> issued by the <i>Tribunal</i> .	Annual
TL13	Ministerially-imposed licence condition 12	Audit guidelines	The <i>Licence Holder</i> must comply with any <i>Audit Guidelines</i> issued by the <i>Tribunal</i> .	Annual
TL14	Ministerially-imposed licence condition 13	Compliance management systems	The <i>Licence Holder</i> must ensure internal systems are developed and maintained that are capable of effectively managing compliance with its <i>Licence</i> .	Annual
TL15	Ministerially-imposed licence condition 14	Statistical operating obligations	The <i>Licence Holder</i> must provide to the <i>Tribunal</i> such operating and statistics and performance indicators as may be required from time to time by the <i>Tribunal</i> .	Annual

IPART Code	Licence Condition and obligation	Name of reporting	Brief description of obligation	Reporting
TL16	Ministerially-imposed licence condition 17.1	Licence fees	The <i>Licence Holder</i> must pay such fees (annual or otherwise) in connection with the holding of the <i>Licence</i> as may be determined by the <i>Minister</i> from time to time.	Annual
TL17	Ministerially-imposed licence condition 17.2	Licence fees – payment and recovery	The <i>Licence Holder</i> must pay the fees referred to in condition 17.1 in the manner and within the period specified by the <i>Tribunal</i> .	Annual
EG1-9	Ministerially-imposed licence condition 16	Employment guarantees	The <i>Licence Holder</i> must furnish to the <i>Tribunal</i> (at such times and in respect of such periods as the Tribunal may determine and in the manner and form specified by the <i>Tribunal</i>) such information as the <i>Tribunal</i> may determine, to enable the <i>Tribunal</i> to ascertain whether or not the <i>Licence Holder</i> is complying with the 'Employment Guarantees' set out in Schedule 4 to the <i>Electricity Network Assets (Authorised Transactions) Act 2015</i> (NSW)	Annual